

02/11/02  
JCS55 U.S. PTO

02-13-02 A

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PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

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(1921-1997)

JCS55 US PRO  
02/11/02

February 11, 2002

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: MEMC 98-1451/2554.1  
First Named Inventor: Robert J. Falster et al.  
Title: THERMALLY ANNEALED, LOW DEFECT DENSITY SINGLE CRYSTAL  
SILICON  
Express Mail Label Number: EL 937977085 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**APPLICATION ELEMENTS**

1.  Fee Transmittal Form  
(original and duplicate)
2.  Applicant claims small entity status
3.  Specification [Total Pages 66]
4.  Drawings [Total Sheets 30]
5. Oath or Declaration [Total Pages 5]
  - a.  Newly executed (original or copy)  
 New (unexecuted)
  - b.  Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i.  DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

6.  Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7.  Application Data Sheet
8.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Form  
b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper  
c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10.  Assignment Papers (cover sheet & document(s))  
The entire disclosure of the prior application, from which a copy of the assignment is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
11.  37 CFR 3.73(b) Statement  Power of Attorney
12.  English Translation Document (if applicable)
13.  IDS with PTO-1449  Copies of IDS Citations
14.  Preliminary Amendment A
15.  Return Receipt Postcard
16.  Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17.  Certified Copy of Priority Document(s) if foreign priority is claimed
18.  Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION**

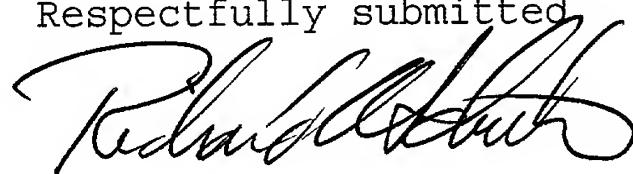
19.  Continuation  Divisional  Continuation-in-Part of prior U.S. application Serial No. 09/416,998, filed October 13, 1999.
- Complete Application based on provisional Application Serial No. 60/104,304, filed October 14 1998.

Prior application information: Examiner: Stephen Stein  
Group Art Unit: 1775

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Richard A. Schuth

Respectfully submitted

A handwritten signature in black ink, appearing to read "Richard A. Schuth".

Richard A. Schuth, Reg. No. 47,929

RAS/msc

**FEE TRANSMITTAL**

Application Number To Be Assigned  
Filing Date February 11, 2002  
Inventor(s) Robert J. Falster et al.  
Attorney Docket Number MEMC 98-1451/2554.1

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
  - The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
  - Applicant claims small entity status.
- \* 2.  Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1.  BASIC FILING FEE Subtotal (1) \$ 740.00  
(Type: Divisional)
2.  EXTRA CLAIM FEES Subtotal (2) \$ 36.00  
  
Total Claims 22  
Independent Claims 2  
Multiple Dependent Claims 0
3.  ADDITIONAL FEES Subtotal (3) \$ \_\_\_\_\_  
  
 Surcharge - late filing fee or oath  
 Surcharge - late provisional filing fee or cover sheet  
 Extension for reply within \_\_\_\_\_ month  
 Notice of Appeal  
 Filing a Brief in Support of an appeal  
 Request for ex parte Reexamination  
 Petitions to the Commissioner  
 Submission of Information Disclosure Statement  
 Recording each patent assignment per property  
 Request for Continued Examination  
 Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 776.00

  
\_\_\_\_\_  
Richard A. Schuth, Reg. No. 47,929

February 11, 2002

Date

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\*Enclosure  
Express Mail Label No. EL 937977085 US